

# Brain Memory Working

Franco Cardin<sup>1</sup>, Alberto Lovison<sup>2</sup>, Amos Maritan<sup>3</sup>, Aram Megighian<sup>4</sup>

PINN-PAD: PHYSICS INFORMED NEURAL NETWORKS IN PADOVA - DIPARTIMENTO DI INGEGNERIA CIVILE, EDILE E AMBIENTALE

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<sup>1</sup> Dipartimento di Matematica “Tullio Levi-Civita” - Università di Padova

<sup>2</sup> Dipartimento di Matematica e Fisica “Ennio De Giorgi” - Università del Salento

<sup>3</sup> Dipartimento di Fisica e Astronomia “Galileo Galilei” - Università di Padova

<sup>4</sup> Dipartimento di Scienze Biomediche and Padova Neuroscience Center - Università di Padova



# HOPFIELD MODEL OF NEURAL NETWORK

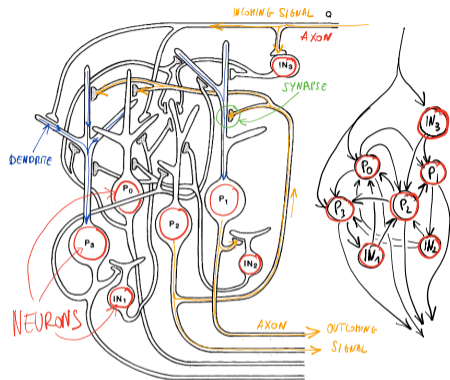
Original discrete Hopfield model with  $N$  neurons.  
At the  $n$ -th time step **activation potential** at neuron  $i$ :

$$V_i^{(n)}, \quad i = 1, \dots, N.$$

$T_{ij}$  = **conductance** between neurons  $i$  and  $j$ .

Potentials updating rule:

$$V_i^{(n+1)} = g \left( \sum_{j=1}^N T_{ij} V_j^{(n)} \right), \quad g(u) := \begin{cases} +1 & u \geq a, \\ -1 & u < a. \end{cases}$$



A neuronal circuit.

# HOPFIELD MODEL OF NEURAL NETWORK

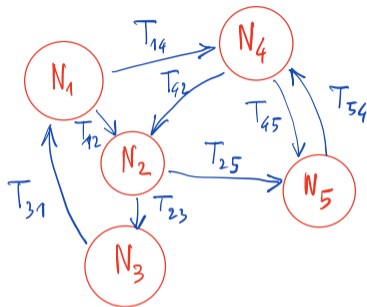
Neuronal network.

The associated continuous dynamics

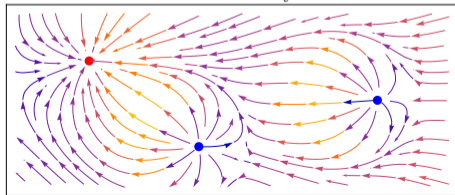
$$\dot{u}_i = \sum_{j=1}^N T_{ij}g(u_j) - u_i$$

where  $g(x)$  is a sigmoidal activation function, is described by the vector field

$$X_i(u) = \sum_{j=1}^N T_{ij}g(u_j) - u_i.$$



Possible associated continuous dynamics  $X$ .



Blue dots: sources. Red dots: sinks.

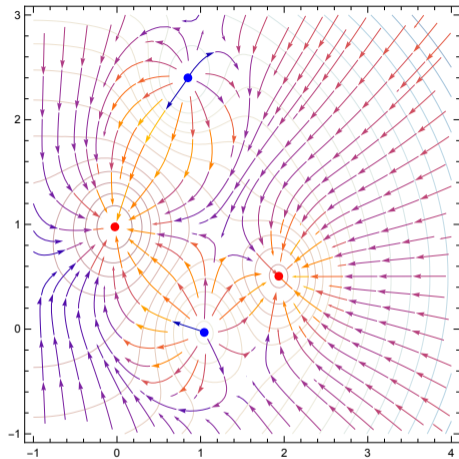


# SYMMETRIC HOPFIELD MODEL OF NEURAL NETWORK

- ▶ Symmetry:  $T_{ij} = T_{ji}$
- ▶ Symmetry + Constancy : **Energy landscape**

$$E(V) := -\frac{1}{2} T_{ij} V_i V_j + \sum_{i=1}^N \int_0^{V_i} g^{-1}(x) dx.$$

- ▶ Gradient dynamics  
 $X = -\nabla E$
- ▶ Dynamics drives the potential pattern  $(V_1, \dots, V_N)$  towards the local energy minimum.



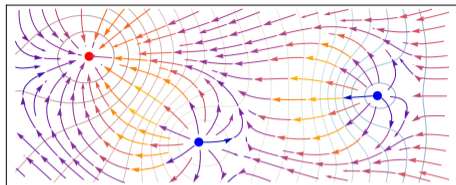
Contour plot of the energy landscape. Gradient-type dynamics. Minima = red dots. Maxima = blue dots.

# NETWORK UPDATES

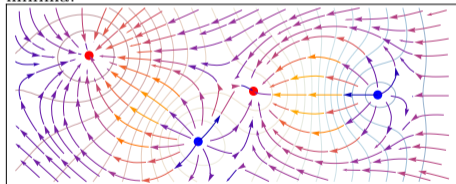
- ▶ **Hebbian** updates are discontinuous and can only add new patterns until saturation.

$$T_{ij}^{new} = T_{ij}^{old} + \frac{1}{N} \widehat{V}_i \widehat{V}_j$$

- ▶ Excessively rigid updating scheme: the network is **forced** to learn a pattern.



Hebbian updates to  $T_{ij}$  add new patterns/landscape minima.



## KROTОВ: NON CONSTANT BUT SYMMETRIC

- ▶ the interaction matrix  $T_{ij}$  **varies** with electric potential  $V_i$  according to this “unusual” rule:

$$T_{ij} \rightarrow T_{ij}(V) := \frac{\partial^2 \Phi}{\partial V_i \partial V_j}(V), \quad (\text{still symmetric}) \quad (\spadesuit)$$

- ▶  $T_{ij}(V)$  is the **Hessian** of the **Lagrangian**  $\Phi(V)$ .
- ▶ via Legendre transform we obtain the **Hamiltonian** energy:

$$E(V) := - \left( \nabla \Phi(V) \cdot V - \Phi(V) - \sum_{i=1}^N \int_0^{V_i} g^{-1}(x) dx \right).$$

- ▶ new gradient vector field:

$$\widehat{X}_i(V) := -\nabla_i E(V) = \nabla_{ij}^2 \Phi(V) \cdot V_j - g^{-1}(V_i).$$

**Krotov  
extension:**



## FIRST SYMMETRIC NON CONSTANT PROPOSAL

We have decripted condition ( $\spadesuit$ ):

### THEOREM

In a simply connected domain, the *closure condition*:

$$(T_{kj,i} - T_{ki,j}) V_k = 0, \quad (\star)$$

is equivalent to the gradient structure for  $\hat{X}$  and to the existence of a Lyapunov-like energy function.

### Remark

Under the *stronger condition*:

$$T_{kj,i} - T_{ki,j} = 0, \quad (\diamond)$$

we gain the Krotov hypothesis ( $\spadesuit$ ):  $T = \nabla^2 \Phi$ , but not to ( $\star$ ).



## FIRST SYMMETRIC NON CONSTANT PROPOSAL

In the more general condition  $(\star)$ , we define now the corresponding **Energy function**. Let :

$$W(x) := \int_0^1 T_{ij}(\lambda x) \lambda x_i x_j d\lambda,$$

we set

$$E(V) := -W(V) + \sum_{i=1}^N \int_0^{V_i} g^{-1}(\lambda) d\lambda,$$

and obtain

$$\underbrace{\widehat{X}_i = -\nabla E(V)}_{\text{gradient-like dynamics}} = T_{ij}(V) V_j - g^{-1}(V_i).$$

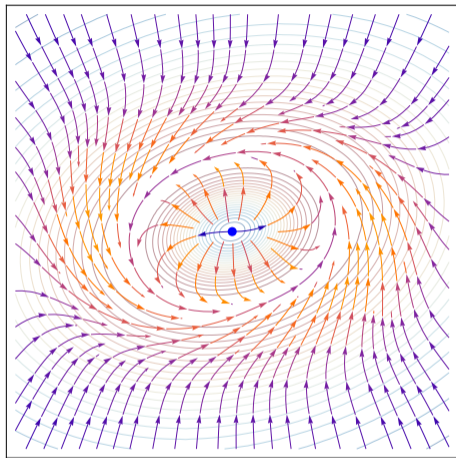




## NEED FOR BREAKING THE SYMMETRY

- ▶ Physiology states that  $T_{ij}$  is asymmetric: connections are **directed**, i.e., specific structures are dedicated to outgoing (**axons**) and incoming (**dendrites**) connections.
- ▶ Features non comprised by symmetric interactions:
  - ▶ oscillations / memory association,
  - ▶ wandering (instability),
  - ▶ forgetting and recovering memories.

Oscillations and instability need for **asymmetry** in  $T_{ij}$



Oscillations or limit cycles are only possible with asymmetry.



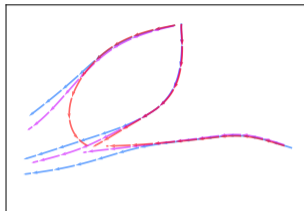
# A NEW PROPOSAL: ASYMMETRIC OPTIMAL CONTROL

- ▶ Starting **constant** matrix  $A_{ij}$  non symmetric.
- ▶  **$\xi$ -controlled** adjustments:

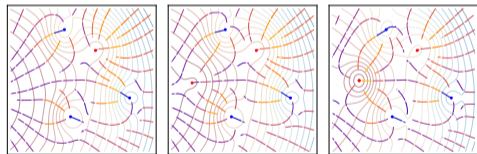
$$T_{ij}(\xi) := A_{ij} + \xi_{ij}, \quad |\xi_{ij}| \leq K$$

- ▶  $\xi$ -controlled Hopfield dynamics:

$$\begin{aligned} \dot{u}_i(t) &= X_i(u(t), \xi(t)) = \\ &= \sum_{j=1}^N (A_{ij} + \xi_{ij}(t)) g(u_j(t)) - u_i(t). \end{aligned}$$



Trajectories dynamically evolving during motion.



Dynamical evolution of the energy landscape (symmetric).

*Ideas already appeared for instance in*

 *G. Parisi, Asymmetric neural networks and the process of learning, J.Phys A, 1986*

 *D. Vardalaki et al, Filopodia are a structural substrate for silent synapses in adult neocortex. Nature 2022*



# A NEW PROPOSAL: ASYMMETRIC OPTIMAL CONTROL

Proposal: fix  $0 < k \ll K$ :

Remark on **sparsity**:

- ▶ If  $N$  is large,  $A_{ij}$  is sparse.
- ▶  $\xi_{ij}$  may update only  $A_{ij} \neq 0$ , or...
- ▶  $\xi_{ij}$  may also act on  $A_{ij} = 0$ , lighting up
  - ▶ existing but **silent** synapses
  - ▶ build brand new synapses not existing before

- ▶ if  $A_{ij} \neq 0 \implies |\xi_{ij}(t)| \leq K$ ,  
i.e., if a connection  $A_{ij}$  between neurons  $i$  and  $j$  already exists, then the corresponding update may be “strong”:  $\xi_{ij} \leq K$ .
- ▶ if  $A_{ij} = 0 \implies |\xi_{ij}(t)| \leq k \ll K$ ,  
i.e., if  $A_{ij}$  is **silent**, then only smaller updates are possible  $\xi_{ij} < k \ll K$ .

▶ *D. Vardalaki et al, Filopodia are a structural substrate for silent synapses in adult neocortex. Nature 2022*

Resuming the updating scheme we write:  $|\xi_{ij}(t)| \leq (k, K)$ .



## A NEW PROPOSAL: ASYMMETRIC OPTIMAL CONTROL

Surprisingly: there exist a perfectly fit powerful mathematical framework:

### Infinite Horizon Optimal Control Problem.

- ▶ Differential Constraint:

$$\dot{u}_i(t) = X_i(u(t), \xi(t)) = \sum_{j=1}^N (A_{ij} + \xi_{ij}(t)) g(u_j(t)) - u_i(t). \quad (\dagger)$$

- ▶  $e^{-\lambda t}$ -discounted variational principle:

$$\min_{\xi(\cdot)} J(u^{(0)}, \xi(\cdot)) = \min_{\xi(\cdot)} \int_0^{+\infty} \underbrace{\left( |X(u(t, u^{(0)}, \xi(\cdot)), \xi(t))|^2 + |\xi(t)|^2 \right)}_{\text{Lagrangian: } \ell(u, \xi)} e^{-\lambda t} dt$$

where  $u(u^{(0)}, \xi(\cdot))$  solves the differential constraint  $(\dagger)$ .



## Infinite Horizon Optimal Control Problem.

- ▶ The Lagrangian of the Control Problem:

$$\ell(u, \xi) = |X(u, \xi)|^2 + |\xi|^2,$$

- ▶  $|X|^2$  small  $\Rightarrow$  towards equilibria,
- ▶  $|\xi|^2$  small  $\Rightarrow$  cheap solutions in terms of matrix modification.
- ▶ The **discount**  $e^{-\lambda t}$  ensures convergence.
- ▶ **Control problem:** for fixed  $u^{(0)}$  find the minimizing controls  $\xi(\cdot)$ :

$$\inf_{|\xi(t)| \leq (K, k)} J(u^{(0)}, \xi(\cdot)),$$



## DISCUSSION OF THE CONTROLLED MODEL

A controlled trajectory starting from the input pattern  $u^{(0)}$  may fall in one of the following classes:

- ▶ reach existing equilibrium without activating the controls  $\xi = 0$ :

$$\lim_{t \rightarrow \infty} X(u(t, u^{(0)}, 0), 0) = X(u^*, 0) = 0,$$

i.e., the initial pattern  $u^{(0)}$  has been **recognized**.

- ▶ The controls  $\xi(t) \neq 0$  operate to **minimize**  $J(u, \xi)$  and asymptotically drive to a new equilibrium:

$$\lim_{t \rightarrow \infty} X(u(t, u^{(0)}, \xi(t)), \xi(t)) = X(u^{**}, \xi_{\infty}^{**}) = 0,$$

i.e., the initial pattern  $u^{(0)}$  has been **recorded** in the network  $T_{ij} \rightarrow T_{ij} + \xi_{\infty}^{**}$  and a new equilibrium  $u^{**}$  has been created.



## DISCUSSION OF THE CONTROLLED MODEL



- ▶ Assume that  $\bar{u}$  is an equilibrium for the synaptic matrix  $T_{ij}$ . A sequence of alterations to  $T_{ij}$  are operated:

$$T_{ij} \rightarrow T_{ij} + \xi_{\infty}^{\alpha} + \dots + \xi_{\infty}^{\omega}, \quad |\xi_{\infty}^{\alpha} + \dots + \xi_{\infty}^{\omega}| > K.$$

In the new configuration the pattern  $\bar{u}$  cannot be recognized, i.e., the pattern  $\bar{u}$  has been **forgot**.

- ▶ (continued) Successive alteration  $\xi_{\infty}^{\eta}$  may bring back the synaptic network closer to the starting configuration:

$$|\xi_{\infty}^{\alpha} + \dots + \xi_{\infty}^{\omega} + \xi_{\infty}^{\eta}| \leq K,$$

allowing to recover the old equilibrium  $\bar{u}$ , i.e., a memory has been **restored**.



## DISCUSSION OF THE CONTROLLED MODEL



- ▶ Given the asymmetry of  $T_{ij}$ , limit cycles are possibly approached ( $\xi = 0$ ) or created ( $\xi_\infty \neq 0$ ) during the controlled motion:

$$\lim_{t \rightarrow \infty} \text{dist} \left( u(t, u^{(0)}, \xi(t)), \mathcal{U} \right) = 0, \quad \mathcal{U} \subseteq \mathbb{R}^N \quad (\text{limit cycle}),$$

**Instability with oscillations:** this situation can be interpreted as **memory association**.

▶ *H Yan et al., Nonequilibrium Landscape Theory of Neural Networks, PNAS 2013*

- ▶ Controls are activated during the motion ( $\xi(t) \neq 0$ ) but they are not able to reach or create any equilibrium:

$$\lim_{t \rightarrow \infty} u(t, u^{(0)}, \xi(t)) \quad \text{does not exist.}$$

**Instability with wandering:** pattern not found nor created.





## FURTHER DISCUSSION / CONCLUSIONS

- ▶ Final Value Theorem
- ▶ Hamilton-Jacobi-Bellman Equation
- ▶ Dynamic Programming Principle
- ▶ Pareto optimization: conservative/innovative attitudes:

$$J_{\mu} \left( u^{(0)}, \xi(\cdot) \right) := \int_0^{+\infty} \underbrace{\left( (1 - \mu) \left| X(u(t), u^{(0)}, \xi(\cdot)), \xi(t) \right|^2 + \mu |\xi(t)|^2 \right)}_{\text{Lagrangian: } \ell_{\mu}(u, \xi)} e^{-\lambda t} dt$$

- ▶ if  $0 < \mu \ll 1$  large values of  $\xi$  are allowed, letting the network explore **innovative** configurations,
- ▶ if  $0 \ll \mu < 1$  large values of  $\xi$  are penalized and the network is more prone towards existing minima: **conservative** attitude.



Thanks for your attention!

Brain memory working. Optimal control behavior for improved Hopfield-like models  
<https://arxiv.org/abs/2305.14360>

alberto.lovison@unipd.it

